

12-20-99

A

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Only for new nonprovisional applications under 37 CFR 1.53(b))**

12/16/99



Docket No. : 33507/VGG/J104  
Inventor(s) : Vladimir M. Segal; William B. Willett; and Stephane Ferrasse  
Title : HIGH-STRENGTH SPUTTERING TARGETS AND METHOD OF MAKING SAME  
Express Mail Label No. : EL447087005US

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Date: December 16, 1999

1. ☒ **FEE TRANSMITTAL FORM** (*Submit an original, and a duplicate for fee processing.*)

2. **IF A CONTINUING APPLICATION**

\_\_\_ This application is a \_\_\_ of patent application No. .

\_\_\_ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application No. .

3. **APPLICATION COMPRISED OF**

**Specification**

35 Specification, claims and Abstract (total pages)

**Drawings**

10 Sheets of drawing(s) (FIGS. 1 to 11B)

**Declaration and Power of Attorney**

\_\_\_ Newly executed

☒ No executed declaration

\_\_\_ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. \_\_\_ **Microfiche Computer Program** (*Appendix*)

5. \_\_\_ **Nucleotide and/or Amino Acid Sequence Submission** (*if applicable, all necessary*)

\_\_\_ Computer Readable Copy

\_\_\_ Paper Copy (identical to computer copy)

\_\_\_ Statement verifying identity of above copies

6. **ALSO ENCLOSED ARE**

\_\_\_ Preliminary Amendment

\_\_\_ A Petition for Extension of Time for the parent application and the required fee are enclosed as separate papers

\_\_\_ Small Entity Statement(s)

\_\_\_ Statement filed in parent application, status still proper and desired

\_\_\_ Copy of Statement filed in provisional application, status still proper and desired



---

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Only for new nonprovisional applications under 37 CFR 1.53(b))**

---

Docket No.: 33507/VGG/J104

---

- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed as separate papers
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☒ Information Disclosure Statement (IDS)/PTO-1449
- ☒ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other

**7. CORRESPONDENCE ADDRESS**

***CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068***

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



Vincent G. Gioia  
Reg. No. 19,959  
626/795-9900

VGG/bje

33507/VGG/J104

# HIGH-STRENGTH SPUTTERING TARGETS AND METHOD OF MAKING SAME

## CROSS REFERENCE TO RELATED APPLICATIONS

This application is related to Application No. 09/098,761, filed June 17, 1998.

## BACKGROUND OF THE INVENTION

The invention relates to sputtering targets and methods of making same; and to sputtering targets of high purity metals and alloys. Among these metals are Al, Ti, Cu, Ta, Ni, Mo, Au, Ag, Pt and alloys thereof, including alloys with these and or other elements. Sputtering targets may be used in electronics and semiconductor industries for deposition of thin films. To provide high resolution of thin films, uniform and step coverages, effective sputtering rate and other requirements, targets should have homogenous composition, fine and uniform structure, controllable texture and be free from precipitates, particles and other inclusions. Also, they should have high strength and simple recycling. Therefore, significant improvements are desired in the metallurgy of targets especially of large size targets.

A special deformation technique known as equal channel angular extrusion (ECAE) described in U.S. Patents Nos. 5,400,633; 5,513,512; 5,600,989; and Patent No. 5,590,389 is used with advantage in accordance with the invention. The disclosures of the aforementioned patents are expressly incorporated herein by reference.

## SUMMARY OF THE INVENTION

The invention relates to a sputtering target made by a process including casting. The target has a target surface such that the surface of the target subjected to sputtering (referred to as target surface) has a substantially homogeneous composition

1 33507/VGG/J104

at any location, substantial absence of pores, voids, inclusions and other casting defects, grain size less than about 1  $\mu\text{m}$  and substantially uniform structure and texture at any location. Preferably, the target comprises at least one of Al, Ti, Cu, Ta, Ni, Mo, Au, Ag, Pt and alloys thereof.

The invention also relates to a method of manufacturing a target, as described above. The method comprises fabricating an article suitable for use as a sputtering target comprising the steps of:

- a. providing a cast ingot;
- b. homogenizing said ingot at time and temperature sufficient for redistribution of macrosegregations and microsegregations; and
- c. subjecting said ingot to equal channel angular extrusion to refine grains therein.

More particularly, a method of making a sputtering target comprising the steps of:

- a. providing a cast ingot with a length-to-diameter ratio up to 2;
- b. hot forging said ingot with reductions and to a thickness sufficient for healing and full elimination of case defects;
- c. subjecting said hot forged product to equal channel extrusion; and
- d. manufacturing into a sputtering target.

Still more particularly, a method of fabricating an article suitable for use as a sputtering target comprising the steps of:

- a. providing a cast ingot;
- b. solutionizing heat treating said cast ingot at temperature and time necessary to dissolve all precipitates and particle bearing phases; and
- c. Equal channel angular extruding at temperature below aging temperatures.

1 33507/VGG/J104

After fabricating as described to produce an article,  
it may be manufactured into a sputtering target.

5

10

15

20

25

30

35

DESCRIPTION OF THE DRAWINGS

FIGS. 1A-1D are schematic diagrams showing processing steps  
5 of billet preparation for ECAE;

FIG. 2 is a graph showing the effect of annealing temperature on billet strength after 4 and 6 passes of ECAE for Al 0.5 wt.% Cu alloy;

FIG. 3A is a schematic diagram disclosing an apparatus for  
10 gradient annealing of targets;

FIG. 3B is a schematic diagram showing temperature distribution through target cross-section C-C during gradient annealing;

FIG. 4 is an illustration of (200) pole figures for Al 0.5 wt.% Cu alloys processed with 2, 4 and 8 passes of route D, (in  
15 FIG. 5) respectively;

FIG. 5 is a graph showing the effect of number of passes and route on texture intensity after ECAE of Al with 0.5 wt.% Cu;

FIG. 6 is a graph showing the effects of annealing  
20 temperature for route A after ECAE of Al with 0.5 wt.% Cu;

FIG. 7 is a graph showing the effects of annealing temperature on texture intensity for route B after ECAE of Al with 0.5 wt.% Cu;

FIG. 8 is a graph showing the effects of annealing  
25 temperature on texture intensity for route C after ECAE of Al with 0.5 wt.% Cu;

FIG. 9 is a graph showing the effects of annealing temperature on texture intensity for route D after ECAE of Al with 0.5 wt.% Cu;

FIG. 10 is a pole figure illustrating the texture as a  
30 result of the process described; and

FIGS. 11, 11A and 11B are schematic diagrams of an apparatus for ECAE of billets for targets.

DETAILED DESCRIPTION

5 The invention contemplates a sputtering target having the following characteristics:

- substantially homogenous material composition at any location;
- substantial absence of pores, voids, inclusions and other casting defects;
- 10 - substantial absence of precipitates;
- grain size less than about  $1\mu\text{m}$ ;
- fine stable structure for sputtering applications;
- substantially uniform structure and texture at any location;
- 15 - high strength targets without a backing plate;
- controllable textures from strong to middle, weak and close to random;
- controllable combination of grain size and texture;
- large monolithic target size;
- 20 - prolonged sputtering target life;
- optimal gradient of structures through target thickness.

Targets possessing these characteristics are producible by the processes described.

25 Because of high purity, cast ingot metallurgy is useful in most cases for billet fabrication in target production. However, casting results in a very course dendritic structure with strong non-uniformity in the distribution of constitutive elements and additions across the ingot and large crystallites. Moreover,  
30 high temperature and long-time homogenizing cannot be applied in current processing methods because of the further increase of grains. One embodiment of the invention solves this problem by using homogenizing time and temperature sufficient for redistribution of macrosegregations and microsegregations  
35 followed by equal channel angular extrusion (ECAE) with a

1 33507/VGG/J104

sufficient number of passes, preferably from 4 to 6, for grain refinement.

5 Another embodiment eliminates other casting defects such as voids, porosity, cavities and inclusions which cannot be optimally removed by homogenizing and employs a hot forging operation. In currently known methods hot forging has a restricted application because reductions are limited and are  
10 typically used at low temperature working for grain refinement. Other processes do not solve that problem when slab ingots of the same thickness as the billet for ECAE are used. In the present invention, the as-cast ingot has a large length-to-diameter ratio, preferably up to 2. During hot forging, the ingot  
15 thickness changes to the thickness of the billet for ECAE. That provides large reductions which are sufficient for full healing and elimination of cast defects.

Still another embodiment of the invention is directed to precipitate- and particle-free targets. With currently known  
20 methods precipitate-free material may be prepared by solutionizing at the last processing step. However, in this case heating to solutionizing temperatures produces very large grains. The present invention provides a method for fabricating precipitate-free and ultra-fine grained targets. According to  
25 this embodiment of the invention, solutionizing is performed at a temperature and time necessary to dissolve all precipitates and particle bearing phases and is followed by quenching immediately before ECAE. Subsequent ECAE and annealing are performed at temperatures below aging temperatures for corresponding material  
30 conditions.

A further embodiment of the invention is a special sequence of homogenizing, forging and solutionizing operations. As-cast ingots are heated and soaked at the temperature and for the length of time necessary for homogenizing, then cooled to the  
35 starting forging temperature, then forged to the final thickness



1 33507/VGG/J104

at the final forging temperature (which is above the solutionizing temperature) and quenched from this temperature. By this embodiment all processing steps are performed with one heating. This embodiment also includes another combination of processing steps without homogenizing: forging at a temperature of about the solutionizing temperature and quenching immediately after forging.

10 It is also possible in accordance with the invention to conduct aging after solutionizing at the temperature and for the length of time necessary to produce fine precipitates with an average diameter of less than  $0.5 \mu\text{m}$ . These precipitates will promote the development of fine and uniform grains during following steps of ECAE

15 An additional embodiment of the invention is a billet for ECAE after forging. An as-cast cylindrical ingot of diameter  $d_0$  and length  $h_0$  (FIG. 1A) is forged into a disk of diameter  $D$  and thickness  $H$  (FIG. 1B). The thickness  $H$  corresponds to the thickness of the billet for ECAE. Then two segments are removed from two opposite sides of the forged billet such as by machining or sawing (FIG. 1C), to provide a dimension  $A$  corresponding to a square billet for ECAE (FIG. 1D). ECAE is performed in direction "C" shown on FIG. 1C. After the first pass the billet has a near-square shape if the dimensions of the ECAE billet ( $A \times A \times H$ ), the dimensions of the forged disk ( $D \times H$ ) and the dimensions of the cast ingot ( $d_0 \times h_0$ ) are related by the following formulae:

$$D=1.18A$$

30  $d_0^2 h_0 = 1.39 \cdot A^2 H$

The invention further contemplates the fabrication of targets with fine and uniform grain structure. ECAE is performed at a temperature below the temperature of static recrystallization with the number of passes and processing route adjusted to provide dynamic recrystallization during ECAE.

1 33507/VGG/J104

Processing temperature and speed are, correspondingly,  
sufficiently high and sufficiently low to provide macro- and  
5 micro-uniform plastic flow.

A method for fabricating fine and stable grain structures  
for sputtering applications and to provide high strength targets  
is also provided. The billet after ECAE with dynamically  
recrystallized sub-micron structure is additionally annealed at  
10 the temperature which is equal to the temperature of the target  
surface during steady sputtering. Therefore, the temperature of  
the target cannot exceed this sputtering temperature and for  
structure to remain stable during target life. That structure  
is the finest presently possible stable structure and provides  
15 the best target performance. It also provides a high strength  
target. FIG. 2 shows the effect of the annealing temperature on  
the ultimate tensile strength and yield stress of Al 0.5 wt.% Cu  
alloy after ECAE at room temperature with 6 or 4 passes. In both  
cases as-processed material has high strength not attainable for  
20 that material with known methods. Yield stress is only slightly  
lower than ultimate tensile strength. The increase of the  
annealing temperature in a range from 125°C to 175°C that, it is  
believed, corresponds to possible variations of sputtering  
temperature results in the gradual decrease of strength.  
25 However, even in the worst case with an annealing temperature of  
175°C target strength and, especially, yield stress are much  
higher than the strength of aluminum alloy AA6061 at T-O  
condition which is the most widely used for fabrication of  
backing plates (see FIG. 2). Thus, among other things, the  
30 invention provides the following significant advantages:

- High strength monolithic targets may be fabricated  
from mild materials like pure aluminum, copper, gold,  
platinum, nickel, titanium and their alloys.

35

- It is not necessary to use backing plates with additional and complicated operations such as diffusion bonding or soldering.
- Fabrication of large targets is not a problem.
- Targets may easily be recycled after their sputtering life ends.

10 It is also useful to employ gradient annealing of targets after ECAE. For that purpose a preliminary machined target is exposed to the same thermal conditions as under sputtering conditions and kept at those conditions a sufficient time for annealing. FIG. 3 describes that processing. The target 1 is fixed in a device 2 which simulates sputtering: a bottom surface A of the target is cooled by water while a top surface B is heated to the sputtering temperature. Heating is advantageously developed at the thin surface layer by radiant energy  $q$  (left side of FIG. 3A) or inductor 3 (right side of FIG. 3A) In addition it is also possible to achieve gradient annealing of targets directly in a sputtering machine at the regular sputtering conditions before starting the production run. In all these cases distribution of temperature through the target as shown in FIG. 3B through sections C-C of FIG. 1 is non-uniform and annealing takes place only inside a very thin surface layer ( $\delta$ ). Following sputtering the same distribution is maintained automatically. Thus, structural stability and high strength of as-processed material are conserved for the main part of the target.

30 An additional embodiment comprises a two-step ECAE processing. At the first step ECAE is performed with a low number of passes, preferably from 1 to 3, in different directions. Then, the preliminary processed billet receives aging annealing at low enough temperatures but for sufficient time to produce very fine precipitates of average diameter less than about  $0.1 \mu\text{m}$ . After intermediate annealing ECAE is repeated

with the number of passes necessary to develop a dynamically recrystallized structure with the desired fine and equiaxed grains.

It is also possible through use of the invention to control texture. Depending on the starting texture and the nature of the materials, various textures can be created. Four major parameters are important to obtain controlled textures:

10 Parameter 1: the number of repeated ECAE passes subjected to the same work piece. This number determines the amount of plastic deformation introduced at each pass. Varying the tool angle between the two channels of the ECAE equipment enables the amount of plastic straining to be controlled and determined and therefore represents an additional opportunity for producing specific textures. Practically, in most cases, a tool angle of about  $90^\circ$  is used since an optimal deformation (true shear strain  $\epsilon = 1.17$ ) can be attained;

20 Parameter 2: the ECAE deformation route; that is defined by the way the work piece is introduced through the die at each pass. Depending on the ECAE route only a selected small number of shear planes and directions are acting at each pass during plastic straining.

25 Parameter 3: annealing treatment that comprises heating the work piece under different conditions of time and temperature. Both post-deformation annealing at the end of the ECAE extrusion and intermediate annealing between selected ECAE passes are effective ways to create various textures. Annealing causes the activation of different metallurgical and physical mechanisms such as second-phase particle growth and coalescence, recovery and static recrystallization, which all affect more or less markedly the microstructure and texture of materials. Annealing can  
35 also create precipitates or at least change the number and

size of those already present in the material: this is an additional way to control textures.

Parameter 4: the original texture of the considered material.

Parameter 5: the number, size and overall distribution of second-phase particles present inside the material.

With consideration of these five major parameters, control of texture is possible in the ways described below:

Table 1 describes major components of texture between 1 and 8 ECAE passes via routes A through D in the as deformed condition for a strong initial texture and also for routes A and D for a weak initial texture. To describe major components both the 3 Euler angles ( $\alpha\beta\gamma$ ) according to the Roe/Matthies convention and ideal representation  $\{xyz\} \langle uvw \rangle$  are used. Moreover, the total volume percentage of the component is given. For texture strength both the OD index and Maximum of pole figures are given.

**TABLE 1**  
**Texture strength and orientation for route A**  
**as a function of the number of passes and initial texture**

Number of passes N	Major Texture Orientations <i>Notation:</i> Euler angles ( $\alpha\beta\gamma$ ): $\{xyz\}\langle uvw \rangle$ :%total volume with 5° spread	OD index (t.r.)	Maximum of Pole Figures (t.r.)
<b>ROUTE A (STRONG INITIAL TEXTURE)</b>			
<b>Original (N=0)</b>	(10.9 54.7 45):(-111)<1-23>:16% (105 26.5 0):(-102)<-28-1>:14% (110 24 26.5):(-215)<-5-5-1>:9.3%	21.7	17.02
<b>N=1</b>	(119 26.5 0):(-102)<-2-4-1>:17.62% (346 43.3 45):(-223)<2-12>:7.62%	10.9	10.9
<b>N=2</b>	(138 26.5 0):(-102)<-2-2-1>:8.66% (31 36.7 26.5):(-213)<-3-64>:8.6%	6.1	6.9
<b>N=3</b>	(126.7 26.5 0):(-102)<-2-3-1>:7.45% (21 36.7 26.5):(-213)<2-43>:6.1%	5.79	5.45

**TABLE 1 (continued)**  
**Texture strength and orientation for route A**  
**as a function of the number of passes and initial texture**

<b>Number of passes N</b>	<b>Major Texture Orientations <i>Notation:</i> Euler angles (<math>\alpha\beta\gamma</math>):{xyz}&lt;uvw&gt;:%total volume with 5° spread</b>	<b>OD index (t.r.)</b>	<b>Maximum of Pole Figures (t.r.)</b>
<b>N=4</b>	(26.5 36.7 26.5):(-213)<2-64>:9.42% (138 26.5 0):(-102)<-2-2-1>:4.62% (169 15.8 45):(-115)<-32-1>:4.32%	4.82	6.55
<b>N=6</b>	(126.7 26.5 0):(-102)<-2-3-1>:6.66% (228 33.7 0):(-203)<-34-2>:5.8% (31 36.7 26.5):(-213)<3-64>:3.42%	3.94	5.61
<b>N=8</b>	(0 35.2 45):(-112)<1-11>:3.1% (180 19.4 45):(-114)<-22-1>:3.06% (31 25.2 45):(-113)<1-52>:2.2%	2.05	3.5
<b>ROUTE A (WEAK INITIAL TEXTURE)</b>			
<b>Original (N=0)</b>	(80 25.2 45):(-113)<8-11 1>:4.3% Large spreading around (106) (119)	2.6	3.2
<b>N=1</b>	(0 46.7 45):(-334)<2-23>:5.8% (222 26.5 0):(-102)<-22-1>:5% (128 18.4 0):(-103)<-3-4-1>:4.01%	4.02	6.3
<b>N=2</b>	(126.7 26.5 0):(-102)<-2-3-1>:6.22% (26.5 48.2 26.5):(-212)<1-22>:5.4% (162 13.2 45):(-116)<-42-1>:5.4%	4.4	6.8
<b>N=4</b>	(226 36.7 26.5):(-213)<-12-1>:4.85% (233 26.5 0):(-102)<-23-1>:4.63% (136 19.5 45):(-114)<-40-1>:4.54% (26.5 36.7 26.5):(-213)<2-64>:3.7%	3	5.1

**TABLE 1 (continued)**  
**Texture strength and orientation for route B**  
**as a function of the number of passes and initial texture**

<b>ROUTE B (STRONG INITIAL TEXTURE)</b>			
<b>Number of passes N</b>	<b>Major Texture Orientations <u>Notation:</u> Euler angles (<math>\alpha\beta\gamma</math>):{xyz}&lt;uvw&gt;:%total volume with 5° spread</b>	<b>OD index (t.r.)</b>	<b>Maximum of Pole Figures (t.r.)</b>
<b>Original (N=0)</b>	(10.9 54.7 45):(-111)<1-23>:16.4% (105 26.5 0):(-102)<-2-8-1>:14% (110 24 26.5):(-215)<-5-5-1>:9.3%	21.7	17.02
<b>N=1</b>	(119 26.5 0):(-102)<-2-4-1>:17.62% (346 43 45):(-223)<2-12>:7.62%	10.9	10.9
<b>N=2</b>	(0 48 26.5):(-212)<425>P24.24% (216 15.8 45):(-115)<-24-1>:8.07% (138 26.5 0):(-102)<-2-2-1>:5.04%	17.27	14.02
<b>N=3</b>	(260 36 74):(-2 7 10)<94-1>:15.49% (118 18.4 90):(013)<-63-1>:5.23%	7.3	9.1
<b>N=4</b>	(96 36 16):(-7 2 10)<-6-15-1>:12% (187 15.6 26.5):(-21-8)<-32-1>:8.05%	6	9.77
<b>N=6</b>	(230.5 14 0):(-104)<-45-1>:12.46% (100 36 16):(-7 2 10)<-4-9-1>:10.2%	6.3	8.45
<b>N=8</b>	(230.5 14 0):(-104)<-45-1>:9.19% (180 13.2 45):(-116)<-33-1>:8.21% (100 36 16):(-7 2 10)<-4-9-1>:7.48%	4.9	6.99

**Table 1 (continued)**  
**Texture strength and orientation for route C**  
**as a function of the number of passes and initial texture**

<b>ROUTE C (STRONG INITIAL TEXTURE)</b>			
<b>Number of passes N</b>	<b>Major Texture Orientations <u>Notation:</u> Euler angles (<math>\alpha\beta\gamma</math>):{xyz}&lt;uvw&gt;:%total volume with 5° spread</b>	<b>OD index (t.r.)</b>	<b>Maximum of Pole Figures (t.r.)</b>
<b>Original (N=0)</b>	(10.9 54.7 45):(-111)<1-23>:16.4% (105 26.5 0):(-102)<-2-8-1>:14% (110 24 26.5):(-215)<-5-5-1>:9.3%	21.7	17.02
<b>N=1</b>	(119 26.5 0):(-102)<-2-4-1>:17.62% (346 43 45):(-223)<2-12>:7.62%	10.9	10.9
<b>N=2</b>	(0 34.5 14):(-416)<4-13>:43.3% (221.8 265 0):(-102)<-2 2 -1>:10.5%	48.9	25.9

**TABLE 1 (continued)**  
**Texture strength and orientation for route C**  
**as a function of the number of passes and initial texture**

<b>ROUTE C (STRONG INITIAL TEXTURE)</b>			
<b>Number of passes N</b>	<b>Major Texture Orientations <i>Notation:</i> Euler angles <math>(\alpha\beta\gamma): \{xyz\} \langle uvw \rangle</math>: %total volume with 5° spread</b>	<b>OD index (t.r.)</b>	<b>Maximum of Pole Figures (t.r.)</b>
<b>N=3</b>	(254 148.4 0): (-103) <-3 11 -1>: 7.5% (111.5 46.5 18.4): (-313) <-2-3-1>: 6.6%	5.2	6.05
<b>N=4</b>	(130 36.9 10): (-304) <-4-6-3>: 15.05%	7.95	13.3
<b>N=5</b>	Large spreading (270 14 0): (-104) <010>: 4.66% (26.5 48 26.5): (-212) <1-22>: 2.54%	2.4	3.3
<b>N=6</b>	(110 36 16): (-7 2 10) <-5-8-2>: 11.6% (234 33.7 0): (-203) <-35-2>: 5.7%	6.32	9.3
<b>N=7</b>	Large spreading (242 18.4 0): (-103) <-36-1>: 4.66% (188 11.4 45): (-117) <-34-1>: 3.36%	2.35	3.15
<b>N=8</b>	(136.5 18.4 0): (-103) <-331>: 14.71% (257 45 0): (-101) <-8 49 -8>: 8.75%	12.9	11

**TABLE 1 (continued)**  
**Texture strength and orientation for route D**  
**as a function of the number of passes and initial texture**

<b>Number of passes N</b>	<b>Major Texture Orientations <i>Notation:</i> Euler angles <math>(\alpha\beta\gamma): \{xyz\} \langle uvw \rangle</math>: %total volume with 5° spread</b>	<b>OD index (t.r.)</b>	<b>Maximum of Pole Figures (t.r.)</b>
<b>ROUTE D (STRONG INITIAL TEXTURE)</b>			
<b>Original (N=0)</b>	(10.9 54.7 45): (-111) <1-23>: 16.4% (105 26.5 0): (-102) <-2-8-1>: 14% (110 24 26.5): (-215) <-5-5-1>: 9.3%	21.7	17.02
<b>N=1</b>	(119 26.5 0): (-102) <-2-4-1>: 17.62% (346 43 45): (-223) <2-12>: 7.62%	10.9	10.9
<b>N=2</b>	(0 48 26.5): (-212) <425>: 24.24% (216 15.8 45): (-115) <-2 4 -1>: 8.07% (138 26.5 0): (-102) <-2-2-1>: 5.04%	17.27	14.02
<b>N=3</b>	(197 20.4 26.5): (-216) <-22-1>: 9.57% all other components < 3%	3.91	6.67



**TABLE 1 (continued)**  
**Texture strength and orientation for route D**  
**as a function of the number of passes and initial texture**

<b>ROUTE D (STRONG INITIAL TEXTURE)</b>			
<b>Number of passes N</b>	<b>Major Texture Orientations <i>Notation:</i></b> Euler angles ( $\alpha\beta\gamma$ ):{xyz}<uvw>:%total volume with 5° spread	<b>OD index (t.r.)</b>	<b>Maximum of Pole Figures (t.r.)</b>
<b>N=4</b>	(222 26.5 0):(-102)<-22-1>:13.34% all other components < 3.8%	6.346	7.36
<b>N=6</b>	(223.5 18.5 0):(-103)<-33-1>:7.4% all other components < 2.5%	2.72	4.26
<b>N=8</b>	(222 26.5 0):(-102)<-22-1>:3.42% all other components < 3%	1.9	3.01
<b>ROUTE D (WEAK INITIAL TEXTURE)</b>			
<b>Original (N=0)</b>	(80 25.2 45):(-113)<-8 -11 1>:4.3% Large spreading around (106) (119)	2.6	3.2
<b>N=1</b>	(0 46.7 45):(-334)<2-23>:5.8% (221 26.5 0):(-102)<-22-1>:5% (128 18.4 0):(-103)<-3-4-1>:4.01%	4.02	6.3
<b>N=2</b>	(241 26.5 0):(-102)<-24-1>:12.72% (26.5 48.2 26.5):(-212)<1-22>:4.1%	5	6.7
<b>N=3</b>	(197 20.~ 26.5):(-216)<-22-1>:8.8% (26.5 48.2 26.5):(-212)<1-22>:3.9%	3.5	6.44
<b>N=4</b>	(221.8 26.5 0):(-102)<-22-1>:7.2% (26.5 48.2 26.5):(-212)<1-22>:3.1%	3	5.3

Table 2 describes major components of features between 1 and 8 ECAE passes via route A through D for a strong initial texture and after annealing at (150C, 1h), (225C, 1h) and (300C, 1h)

Table 2

Major texture orientations for route A  
in function of number of passes N and annealing temperature

ROUTE A (STRONG INITIAL TEXTURE)  
Notations: Euler angles ( $\alpha\beta\gamma$ ): {xyz} <uvw>: % total volume with 5° spread

N	Annealing at (150C, 1h)	Annealing at (225C, 1h)	Annealing at (300C, 1h)
1	(43 47 22):(-525)<1-32>: 10.4% (110 26.5 0):(-102)<-2-6- 1>:8.04% (130 24 18.4):(-317)<-3- 2-1>:7.15%	(35 48 25):(-212)<1- 22>:13.15% (114 22 10):(-102)<- 2-4-1>:9.3%	( 76 29.5 45):(-225)<- 5-71>:9.3 % (141 37 0):(-304)<-4- 4-3>:6.6 %
2	(105 22 0):(-205)<-5 20- 2>:9.21% (155 19.5 45):(-114)<-31- 1>:7.83% (31 36.7 45):(-213)<3- 64>:6.88%	(136 18.4 0):(-103)<- 3-3-1>:20.9% (112 19 18.4):(- 319)<-5-6-1>: 20.2%	(354 18.4 0):(- 103)<913>:7.74% (315 11.5 45):(- 117)<701>:7.38% (90 7 0):(-108)<0-10>: 6.7%
3	(110 36 16):(-7 2 10)<-4- 9-2>: 15.2% (233 26.5 0):(-102)<-23- 1>:7.35%	(110 45 0):(-101)<-1- 4-1>:16.85% (290 45 0):(- 101)<141>:11.5%	Large spreading around (117), (100) All components < 4%
4	(129 18 26):(-217)<-1-5- 1>:11.73% (35 37 26.5):(-213)<2- 53>:11.2%	(124 25 14):(-419)<- 3-3-1>:12.4% (38 36.7 26.5):(- 213)<3-95>:7.5%	(110 25.2 45):(-113)<- 6-3-1>: 6.87% (318 25.2 45):(- 113)<301>:5.1%
6	(180 19.5 45):(-114)<-22- 1>:5.5% (135 10 0):(-106)<-6-6- 1>:4% (0 46.7 45):(-334)<2-23>: 3.95%	Large spreading All components < 5%	(46.7 19.5 45):(- 114)<-1-17 4>: 9% All components < 4.9%
8	Large spreading around (315), (104) All components < 4%	(44 36 26.5):(- 213)<2-63>:7.94% (136 18.4 0):(-103)<- 3-3-1>:6.17%	(152 32 0):(-508)<-8- 5-5>:6.4% All components < 3%

Table 2 (continued)  
Major texture orientations for route B  
in function of number of passes N and annealing temperature

5

ROUTE B (STRONG INITIAL TEXTURE)

Notations: Euler angles ( $\alpha\beta\gamma$ ): {xyz} <uvw>: % total volume with 5° spread

N	Annealing at (150C, 1h)	Annealing at (225C, 1h)	Annealing at (300C, 1h)
10	1 (43 47 22): (-525) <1-32>: 10.4% (110 26.5 0): (-102) <-2-6-1>: 8.04% (130 24 18.4): (-317) <-3-2-1>: 7.15%	(35 48 25): (-212) <1-22>: 13.15% (114 22 10): (-102) <-2-4-1>: 9.3%	(76 29.5 45): (-225) <-5-71>: 9.3 % (141 37 0): (-304) <-4-4-3>: 6.6 %
15	2 (215 20 26.5): (-216) <-36-2>: 35% (270 13.2 45): (-116) <110>: 16%	(112 34 0): (-203) <-3-9-2>: 16% (16 54.7 45): (-111) <1-34>: 8.88%	(221 26.5 0): (-102) <-22-1>: 13.3% (109 14 0): (-104) <-4-12-1>: 12%
20	3 (148 19 79): (-1 5 15) <-55-2>: 17.5% (90 16 45): (-115) <-1-10>: 6.9%	(10 45 10): (-616) <3-13>: 5.7% (235 14 0): (-104) <46-1>: 4.53% Large spreading	(0 48 26.5): (-212) <4-25>: 6% (222 41 45): (-223) <03-2>: 5.8% (19.5 45 0): (-101) <2-12>: 5.4%
25	4 (127 26.5 0): (-102) <-2-3-1>: 5.9% (242 14 0): (-104) <-4 8 -1>:	(230 14 0): (-104) <-45-1>: 6.23%	Large spreading around (107) (115) All components < 3%
30	6 (180 19.5 45): (-114) <-22-1>: 5.5% (135 10 0): (-106) <-6-6-1>: 4 (0 46.7 45): (-334) <2-23>: 3.95%	Large spreading All components < 5%	(46.7 19.5 45): (-114) <-1-17 4>: 9% All components < 4.9%
	8 Large spreading around (315), (104) All components < 4%	(44 36 26.5): (-213) <2-63>: 7.94% (136 18.4 0): (-103) <-3-3-1>: 6.17%	(153 32 0): (-508) <-8-5-5>: 6.4% All components < 3%

Table 2 (continued)  
Major texture orientations for route C  
in function of number of passes N and annealing temperature

5

ROUTE C (STRONG INITIAL TEXTURE)

Notations: Euler angles ( $\alpha\beta\gamma$ ): {xyz} <uvw>: % total volume with 5° spread

N	Annealing at (150C, 1h)	Annealing at (225C, 1h)	Annealing at (300C, 1h)
1	(43 47 22):(-525)<1-32>: 10.4% (110 26.5 0):(-102)<-2- 6-1>:8.04% (130 24 18.4):(-317)<-3- 2-1>:7.15%	(35 48 25):(-212)<1- 22>:13.15% (114 22 10):(-102)<-2- 4-1>:9.3%	(76 29.5 45):(-225)<-5- 71>:9.3 % (141 37 0):(-304)<-4-4- 3>:6.6 %
2	(191 16 45):(-115)<-23- 1>:8.77% (156 26.5 0):(-102)<-2- 1-1>:6.68%	(99 46 14):(-414)<-3-8- 1>:20.9% (289 45 0):(-101)<141> :15.22%	Large spreading around (100) All components <3.8%
3	(119 26.5 0):(-102)<-2- 4-1>:28.4% (26.5 48 26.5):(-212)<1- 22>:9.74%	(106 29 26.5):(-214)<- 5-6-1>:19.5% (103 31 34):(-326)<-6- 6-1>:18.7% (42 46.5 18.4):(-313) <1-32>:8.83%	(194 14 0):(-104)<-41- 1>:6.1% (163 18.4 0):(-103)<-3- 1-1>:5.85%
4	105 38 18.5):(-314)<-3- 5-1>:10.2% Other components <5.3%	Large spreading around (302) and (225) All components <2.8%	Large spreading around (100) (105) (116) All components <4.1%
5	(103 32 18.4):(-3 1 5)<- 4-7-1>:19%	(127 26.5 0):(-102)<-2- 3-1>:7% (22 38 18.4):(-314)<1- 11>:5.6%	Large spreading around (106) (115) All components <3.7%
6	(61 46 14):(-414)<1- 83>:11.82% (155 21 18.4):(-318)<- 22-1>:7.94%	Large spreading around (101) and (334) All components <4%	(80 25 45):(-113)<-8-11 1>:4.3% All components <3%
7	(104 36 16):(-7 2 10)<- 3-6-1>:29% (26.5 48 26.5):(-212)<1- 22>:7.6%	(125 37 0):(-304)<-47- 3>:7.8% (305 45 0):(-101)<121>: 5.82%	Large spreading around (100) (105) (203) All components <2.9%
8	(104 47 22):(-525)<-3-5- 1>:15.36%	(106 38 18.4):(-314)<- 3-5-1>:4.64% All components <3.2%	Large spreading around (100) (105) (112) (203) All components <2.7%

10

15

20

25

30

35

Table 2 (continued)  
Major texture orientations for route D  
in function of number of passes N and annealing temperature

ROUTE D (STRONG INITIAL TEXTURE)			
Notations: Euler angles ( $\alpha\beta\gamma$ ): {xyz} <uvw>: % total volume with 5° spread			
N	Annealing at (150C, 1h)	Annealing at (225C, 1h)	Annealing at (300C, 1h)
1	(43 47 22):(-525)<1-32>: 10.4% (110 26.5 0):(-102)<-2-6- 1>:8.04% (130 24 18.4):(-317)<-3- 2-1>:7.15%	(35 48 25):(-212)<1-22>: 13.15% (114 22 10):(-102)<-2-4- 1>:9.3%	(76 29.5 45):(-225)<- 5-71>:9.3 % (141 37 0):(-304)<-4- 4-3>:6.6 %
2	(215 21 26.5):(-216)<-36 -2>:35% (270 13 45):(-116)<110>: 16%	(112 34 0):(-203)<-3-9- 2>:16.45% (16 54.7 45):(-111)<1- 34>:8.88%	(222 26.5 0):(-102)<- 22-1>:13.3% (109 14 0):(-104)<-4- 12-1>:12% (162 9 45):(-119)<- 63-1>:9.6%
3	(337 50 34):(- 323)<101>:12.2% (215 47 45):(-334)<0 4 - 3>:9.75% (241 26.5 0):(-102)<-24- 1>:7.02%	(168 20 25):(-216)<-82- 3>:10.35% (102 18.4 0):(-103)<-3- 16-1>:9.32% (162 13 45):(-116)<-42- 1>:6.44%	(150 16 45):(- 115)<115><-41-1>:5.6% (198 18.4 0):(-103)<- 31-1>:5.2%
4	(233 26.5 0):(-102)<-23- 1>:9% All other components <4%	Large spreading All components <3.6%	Large spreading around (105) (116) All components < 3.9%
6	(224 18.4 0):(-103)<-33- 1>:8.29% All other components <3.8%	(224 18.4 0):(-103)<-33- 1>:5.49% (109 18.4 0):(-103)<-3- 9-1>:4.4%	Large spreading around (106) and (113) All components < 2.9%
8	(222 27 0):(-102)<-22- 1>:8.58% All components<4%	(205 21 18.4):(-138)<- 22-1>:11.44% (233 26.5 0):(-102)<-23- 1>:10.74%	(222 26.5 0):(-102)<- 22-1>:8.58% (38 16 45):(-115)<1- 92>:5.55%

(1) The number of ECAP passes permits the control of texture strength. The increase of the number of passes is an efficient mechanism of randomizing texture. There is an overall decrease of texture strength evidenced by the creation

of new orientations and, more importantly, the large spreading of orientations around the major components of the texture as evidenced in FIG. 4. FIG. 4 is an illustration of (200) pole figures for Al with 0.5 wt.% Cu alloys processed 2, 4 and 8 passes of route D (FIG. 5) and shows spreading of orientations as "N" increases. This phenomenon is more or less effective depending on the investigated route and/or annealing treatment. For example in the as-deformed state, routes B and C result in somewhat higher textures than routes A and D (FIG. 5 and Table 1). FIG. 5 is a graph that shows the influence of ECAE deformation route and strength on texture formation as a function of number of ECAE passes. For medium to very strong starting textures, two main areas can be distinguished in the as-deformed state(FIG.5):

Between passes 1 and 4 (with a tool angle of  $90^\circ$ ), very strong to medium textures are obtained. In the investigation of Al.5Cu, for example, the OD index ranges from more than 7 times random to more than 48 times random which corresponds to maximum intensities of the ODF between 3000 mrd (30 times random) and more than 20000 mrd (200 times random).

For more than 4 passes (with a tool angle of  $90^\circ$ ), medium-strong to very weak textures close to random are created. In the case of Al.5Cu alloys, OD index varies from around 11 times random to less than 1.9 times random depending on the route, which corresponds to maximum intensities of the ODF between 7000 mrd (70 times random) and around 800 mrd (8 times random).

The two main domains are maintained after subsequent annealing, as shown in the graphs of FIGS. 6, 7, 8 and 9. However for some ECAE deformation routes (for example route B and C in the case of Al.5Cu), additional heating can give a strong texture, as discussed below. The existence of these two areas is a direct consequence of the microstructural

changes occurring in the material during intensive plastic deformation. Several types of defects (dislocations, microbands, shear bands and cells and sub-grains inside these shear bands) are gradually created during the 3 to 4 ECAE passes (for a tool angle of  $90^\circ$ ). The internal structure of materials is divided into different shear bands while increasing the number of passes. After 3 to 4 ECAE passes, a mechanism termed dynamic recrystallization occurs and promotes the creation of sub-micron grains in the structure. As the number of passes increases these grains become more and more equiaxed and their mutual local mis-orientations increase giving rise to a higher number of high angle boundaries in the structure. The very weak and close to random textures that are created are a consequence of three major characteristics of the dynamically recrystallized microstructures: the presence of high internal stresses at the grain boundaries, the large number of high angle boundaries and the very fine grain size with a large grain boundary area (usually of the order of about  $0.1\text{--}0.5\ \mu\text{m}$ ).

(2) The ECAE deformation route permits control of the major orientations of the texture. Depending on the route, different shear planes and directions are involved at each pass (see FIG. 5 and Tables 1 and 2). Therefore shear bands of different orientations are created in the structure. For some routes these shear bands always intersect each other in the same way; for other routes new families are constantly introduced at each pass (Tables 1 and 2). All these options allow changes to the major components or orientations between each pass. The effect is particularly strong for a small number of passes before the advent of dynamic recrystallization, as discussed above. An important application exists in the possibility to create different

types of strong textures already in the as-deformed state for a limited number of ECAE passes.

(3) Additional annealing has an important influence on both the major texture orientations and strength (see FIGS. 6, 7, 8, 9 and Table 2).

For annealing temperatures below the static recrystallization, a change in both texture strength and main orientation is observed. This effect can be particularly strong for a low number of passes (less than about 4 passes) leading to remarkable migrations of major orientations accompanied with either a decrease or increase of texture strength. Such changes can be attributed to the instability of microstructural defects which are implemented in the crystal structure. Complex mechanisms such as recovery and sub-grain coalescence explain partly the observed phenomena. For dynamically recrystallized ultra-fine structure (after usually 4 passes) smaller modifications are encountered. They are usually associated with the transition from a highly stressed to a more equilibrium micro structure.

For annealing temperatures close to the beginning of static recrystallization, the same over-all results as in the above case are found. However, it is important to note that new and different textures than for low temperature annealing can be obtained, especially for a low number of ECAE passes (Table 2). This is due to static recrystallization which creates new grains with new orientations by diffusion mechanisms.

For annealing temperatures corresponding to developed stages of static recrystallization (full static recrystallization), textures tend to be weakened (as shown in FIGS. 6, 7, 8, 9 and Table 2). This is particularly true after 3 or 4 ECAE passes where very weak and almost random textures are created. These textures are characterized by



four, six or eight fold symmetry with a higher number of cube (<200>) components.

Additional textural analysis of ECAE deformed Al and 0.5 wt.% Cu is shown in the pole figure described in FIG. 10. In this case the sample was given an initial thermochemical treatment of casting plus homogeneous plus hot forging plus cold rolling (~ 10%) plus two ECAE passes via route C plus annealing (250°C, 1 hour). The recrystallized microstructure had grain size of 40-60  $\mu\text{m}$  and strong texture along  $\{-111\}\langle 2-12\rangle$ ,  $\{012\}\langle -130\rangle$ ,  $\{-133\}\langle 3-13\rangle$ . The result shows two ECAE passes (C) plus static recrystallization permits removal of the very strong (220) textural component of the as-forged condition.

By taking into account all the foregoing, results show that intermediate annealing between each pass provides several additional and significant opportunities to adjust desired textures. Two options are available:

- A. Intermediate annealing either at low temperature or just at the beginning of static recrystallization after a low number of passes ( $N < 4$ ) can give strong textures with new orientations after subsequent deformation with or without annealing.
- B. Intermediate annealing in the case of full static recrystallization after a low or high number of passes can lead more easily to very weak textures after subsequent deformation with or without annealing.

It is also possible to repeat intermediate annealing several times in order to enhance the effects described above.

(4) Starting texture has also a strong influence on both texture and strength especially after a limited number of passes (usually after 1 to 4 passes). For a higher number of passes the ECAE deformation is very large and new mechanisms

are taking place which lessen the magnitude of the influence of the starting texture. Two situations are noted (FIG. 5 and Table 1 for route A and D):

A. For a strong to medium starting textures, after further deformation with or without annealing, it is possible to obtain very strong to medium textures before 4 passes and strong-medium to very weak textures after approximately 4 passes according to the results described in paragraph 1, 2 and 3.

B. For medium to very weak starting textures it will be more difficult to obtain very strong to strong textures at least in the as-deformed state. Weak starting textures are more likely to enhance and promote weak to random textures after ECAE deformation with or without annealing (Table 1).

(5) Second phase particles have a pronounced effect on texture. Large ( $>1 \mu\text{m}$ ) and non-uniformly distributed particles are not desired because they generate many problems such as arcing during sputtering. Very fine ( $>1 \mu\text{m}$ ) and uniformly distributed second phase particles are of particular interest and offer many advantages. Firstly, they tend to create a more even stress-strain state during ECAE deformation. Secondly, they stabilize the already ECAE-deformed microstructure in particular after further annealing. In this case particles pin grain boundaries making them more difficult to change. These two major effects evidently affect the texture of materials. Especially:

for a small number of passes ( $<4$  passes), the effects described previously in sections (1) to (4) can be enhanced due to the presence of second phase particles in particular for strong textures.

for a large number of passes, second phase particles are effective in promoting the randomization of texture.

In order to take advantage of the possibilities offered by the ECAE technique in terms of texture control, three types of results can be achieved:

A. Materials (sputtering targets) with strong to very strong ( $\text{ODF} > 10000$  mrd) textures. In particular this can be obtained for a small number of passes with or without subsequent annealing or intermediate annealing. A strong starting texture is a factor favoring the creation of strong textures. For example in the case of Al.5Cu alloy Table 1 gives all the major components of orientations which were created for different deformation routes (A,B,C,D) between 1 and 4 passes. The as-deformed state as well as deformation followed either by low temperature annealing ( $150^{\circ}\text{C}$ , 1h) or by annealing at the beginning of static recrystallization ( $225^{\circ}\text{C}$ , 1h) or after full recrystallization ( $300^{\circ}\text{C}$ , 1h) are considered in this table. The original texture is displayed in FIG. 7. It is important to note that in most cases new types of textures have been found. Not only  $\{200\}$  and  $\{220\}$  textures are present but also  $\{111\}$ ,  $\{140\}$ ,  $\{120\}$ ,  $\{130\}$ ,  $\{123\}$ ,  $\{133\}$ ,  $\{252\}$  or, for example,  $\{146\}$ . For strong textures, one or two main components are usually present.

B. Material (sputtering targets) with weak to close to random textures with an ultra-fine grain size less than  $1\mu\text{m}$ . Whatever the route this can be obtained after more than 3 to 4 ECAE passes followed or not by annealing or intermediate annealing at a temperature below the beginning of recrystallization temperature. A very weak starting texture is a factor favoring the creation of close to random textures.

5 C. Statically recrystallized materials (sputtering targets) with weak to close to random textures with a fine grain size above approximately 1  $\mu\text{m}$ .  
 Whatever the route this can be obtained after more than 3 to 4 ECAE passes followed by annealing or intermediate annealing at a temperature above the beginning of recrystallization temperature. A very  
 10 weak starting texture is a factor favoring the creation of close to random textures.

Another embodiment of the invention is an apparatus for performing the process to produce targets. The apparatus (FIGS. 11, 11A and 11B) includes die assembly 1, die base 2,  
 15 slider 3, punch assembly 4, 6 hydraulic cylinder 5, sensor 7, and guide pins 11. Also the die is provided with heating elements 12. Die assembly 1 has a vertical channel 8. A horizontal channel 9 is formed between die assembly 1 and slider 3. The die is fixed at table 10 of press, punch  
 20 assembly 4, 6 is attached to press ram. In the original position a-a the forward end of slider 3 overlaps channel 1, punch 4 is in a top position, and a well lubricated billet is inserted into the vertical channel. During a working stroke punch 4 moves down, enters channel 8, touches the billet and  
 25 extrudes it into channel 9. Slider 3 moves together with billet. At the end of stroke the punch reaches the top edge of channel 9 and then returns to the original position. Cylinder 5 moves the slider to position b-b, releases the billet, returns the slider to the position a-a and ejects the  
 30 processed billet from the die. The following features are noted:

(a) During extrusion slider 3 is moved by hydraulic cylinder 5 with the same speed as extruded material inside channel 9. To control speed, the slider is provided with  
 35 sensor 7. That results in full elimination of friction and

1 33507/VGG/J104

material sticking to the slider, in lower press load and effective ECAE;

5 (b) Die assembly 1 is attached to die base 2 by guide pins 11 which provide free run  $\delta$ . During extrusion the die assembly is nestled to the base plate 2 by friction acted inside channel 8. When the punch returns to the original position, no force acts on the die assembly and slider, and  
10 cylinder 3 can easily move the slider to position b-b and then eject the billet from the die.

(c) Three billet walls in the second channel are formed by the slider (FIG. 11A) that minimizes friction in the second channel.

15 (d) The side walls of the second channel in the slider are provided with drafts from  $5^\circ$  to  $12^\circ$ . In this way the billet is kept inside the slider during extrusion but may be ejected from the slider after completing extrusion. Also, thin flash formed in clearances between the slider and die  
20 assembly may be easily trimmed.

(e) Die assembly is provided with heater 12 and springs 13. Before processing, springs 13 guarantee the clearance  $\delta$  between die assembly 1 and die base 2. During heating this clearance provides thermoisolation between die assembly and  
25 die base that results in short heating time, low heating power and high heating temperature.

The apparatus is relatively simple, reliable and may be used with ordinary presses.

30

35

1 33507/VGG/J104

WE CLAIM:

- 5 1. A sputtering target made by a process including casting having a target surface with the following characteristics:
- a) substantially homogenous composition at any location;
  - b) substantial absence of pores, voids, inclusions
  - 10 and other casting defects;
  - c) substantial absence of precipitates;
  - d) grain size less than about  $1\mu\text{m}$ ; and
  - e) substantially uniform structure and texture at any location.
- 15 2. A sputtering target according to claim 1 comprising Al, Ti, Cu, Ta, Ni, Mo, Au, Ag, Pt.
- 20 3. A sputtering target according to claim 1 comprising Al and about 0.5 wt.% Cu.
4. A method for fabricating an article suitable for use as a sputtering target comprising the steps of:
- a. providing a cast ingot;
  - 25 b. homogenizing said ingot at time and temperature sufficient for redistribution of macrosegregations and microsegregations; and
  - c. subjecting said ingot to equal channel angular extrusion to refine grains therein.
- 30 5. A method according to claim 4 further comprising, after subjecting said ingot to equal channel angular extrusion to refine grains therein, manufacturing same to produce a sputtering target.
- 35

1 33507/VGG/J104

6. A method according to claim 4 wherein said ingot is subject to 4 to 6 passes of equal channel angular extrusion.

5

7. A method of making a sputtering target comprising the steps of:

a. providing a cast ingot with a length-to-diameter ratio up to 2;

10 b. hot forging said ingot with reductions and to a thickness sufficient for healing and full elimination of case defects;

c. subjecting said hot forged product to equal channel extrusion; and

15 d. manufacturing into a sputtering target.

8. A method of fabricating an article suitable for use as a sputtering target comprising the steps of:

a. providing a cast ingot;

20 b. solutionizing heat treating said cast ingot at temperature and time necessary to dissolve all precipitates and particle bearing phases; and

c. Equal channel angular extruding at temperature below aging temperatures.

25

9. A method according to claim 8 further comprising manufacturing to produce a sputtering target.

10. A method according to claim 4 including:

30

a. homogenizing the ingot;

b. hot forging of the ingot; and

c. Equal channel angular extruding forged billet.

11. A method according to claim 7 including:

35

a. hot forging the ingot; and

1 33507/VGG/J104

b. equal channel angular extruding the forged  
billet.

5

12. A method according to claim 10 further comprising  
producing a sputtering target.

10 13. A method according to claim 11 further comprising  
producing a sputtering target.

14. A method according to claim 1 further comprising a  
solutionizing heat treatment prior to equal channel angular  
extrusion.

15

15. A method according to claim 1 further comprising  
water quenching after homogenizing.

16. A method according to claim 7 including:

20

a. heating the cast ingot before forging at a  
temperature and for a time sufficient for solutionizing;

b. hot forging at a temperature above  
solutionizing temperature; and

25 c. water quenching the forged billet immediately  
after forging.

17. A method according to claim 4 including:

a. cooling the ingot after homogenizing to a  
forging temperature above the solutionizing temperature;

30

b. Hot forging at a temperature above the  
solutionizing temperature; and

c. water quenching the forged billet immediately  
after forging step.

35



18. A method according to claims 4, 7 or 8 including  
aging after solutionizing and water quenching at a temperature  
5 and for a time sufficient to produce fine precipitates with an  
average diameter of less than  $0.5 \mu\text{m}$ .

19. A billet for equal channel angular extrusion of  
targets fabricated from a cast ingot of diameter  $d_0$  and length  
10  $h_0$  which has been forged into a disc of diameter  $d_0$  and  
thickness  $h_0$  and from which two segments from two opposite  
sides of forged billet to provide a billet width  $A$  have been  
removed in such a manner that thickness  $H$  corresponds to the  
thickness of the billet for equal channel angular extrusion,  
15 the wide  $A$  corresponds to the dimension of square billet for  
equal channel angular extrusion, and dimensions of the cast  
ingot and the forged billet are related by the formulae:

$$D=1.18A$$

$$d_0^2 h_0 = 1.39.A^2 H$$

20

20. A method according to claims 4, 7 or 8 in which the  
step of equal channel angular extrusion is performed at a  
temperature below the temperature of static recrystallization  
and at a speed sufficient to provide uniform plastic flow, and  
25 for a number of passes and routes that provides dynamic  
recrystallization during processing.

21. A method according to claims 5, 9 or 13 including  
annealing after final target fabrication at the temperature  
30 which is equal to the temperature of the sputtered target  
surface during steady sputtering.

22. A method according to claim 13 in which annealing  
after final target fabrication is performed gradientally by  
35 exposing the sputtered target surface to the same heating

1 33507/VGG/J104

condition and exposing an opposite target surface to the same  
cooling condition as under target sputtering during a  
5 sufficient time for steady annealing.

23. A method according to claim 22 in which gradient  
annealing of the target is performed directly in a sputtering  
machine at sputtering conditions before starting a production  
10 run.

24. A method according to claims 4, 7 or 8 in which the  
step of equal channel angular extrusion include a first  
extrusion with 1 to 5 passes into different directions  
15 intermediate annealing at a low temperature and for a time  
sufficient to produce very fine precipitates of average  
diameter less than about 0.1  $\mu\text{m}$ , and a second extrusion with a  
sufficient number of passes to develop a dynamically  
recrystallized structure.

25. A method for controlling texture of sputtering  
targets by a process according to claim 4 wherein the step of  
equal channel angular extrusion is performed by changing the  
number of passes and billet orientation between successive  
25 passes in a manner to produce a desired final texture strength  
and orientation.

26. A method for controlling texture of sputtering  
targets by a process according to claim 5 wherein the step of  
30 equal channel angular extrusion is performed by changing the  
number of passes and billet orientation between successive  
passes in a manner to produce a desired final texture strength  
and orientation.

35

1 33507/VGG/J104

27. A method for controlling texture of sputtering  
targets by a process according to claim 8 wherein the step of  
5 equal channel angular extrusion is performed by changing the  
number of passes and billet orientation between successive  
passes in a manner to produce a desired final texture strength  
and orientation.

10 28. A method according to claim 25 including a  
preliminary processing performed before extrusion to produce  
strong original texture of the same orientation as of the  
desired final texture after equal channel angular extrusion.

15 29. A method according to claim 25 including the  
additional step of recovery annealing performed between  
extrusion passes at temperatures below the temperature of  
static recrystallization.

20 30. A method according to claim 25 including the  
additional step of recovery annealing after equal channel  
angular extrusion at temperatures below the temperature of  
static recrystallization.

25 31. A method according to claim 25 including the  
additional step of recrystallization annealing performed  
between extrusion passes at a temperature equal to the  
beginning temperature of static recrystallization.

30 32. A method according to claim 25 including the  
additional step of annealing performed after the step of equal  
channel angular extrusion at a temperature equal to the  
beginning temperature of static recrystallization.

35

33. A method according to claim 25 including the  
additional step of recrystallization annealing performed  
5 between extrusion passes at temperature above the temperature  
of full static recrystallization.

34. A method according to claim 25 including the  
additional step of recrystallization annealing performed after  
10 the step of equal channel angular extrusion at temperatures  
above the temperature of full static recrystallization.

35. A method according to claims 4, 7 or 8 wherein at  
least different types of thermal treatments are performed  
15 between extrusion passes and after the final step of equal  
channel angular extrusion.

36. A method according to claim 4, 7 or 8 further  
comprising a thermal treatment for control of grain size and  
20 distribution of second phase particles.

1 33507/VGG/J104

HIGH-STRENGTH SPUTTERING TARGETS AND METHOD OF MAKING SAME

5 ABSTRACT OF THE INVENTION:

Described is a high quality sputtering target and method of manufacture which involves application of equal channel angular extrusion.

10

VGG/bje

BJE IRV1030226.1--12/15/99 4:24 PM

15

20

25

30

35

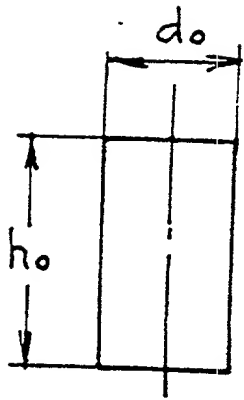


FIGURE 1A

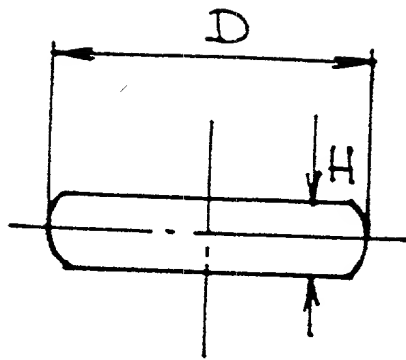


FIGURE 1B

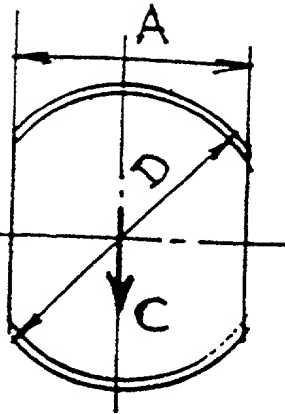


FIGURE 1C

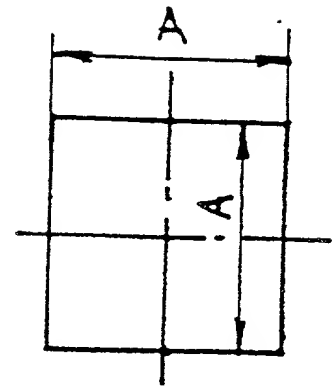


FIGURE 1D

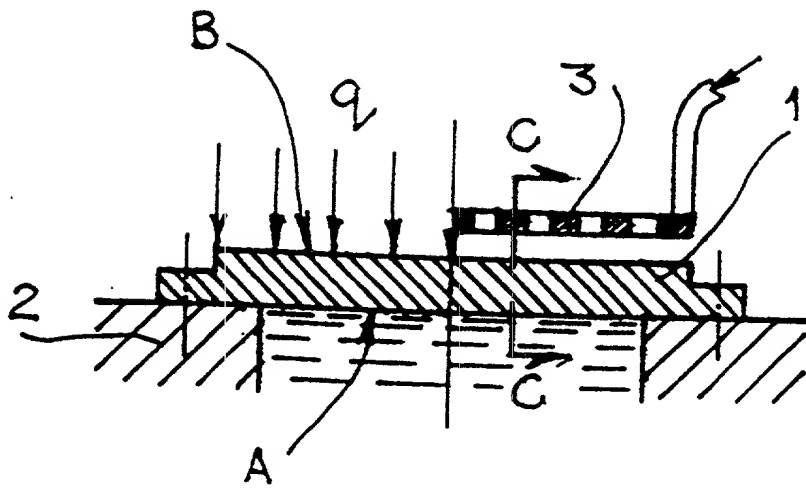


FIGURE 3A

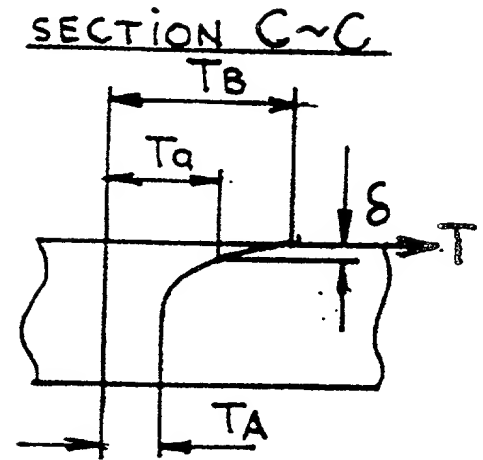
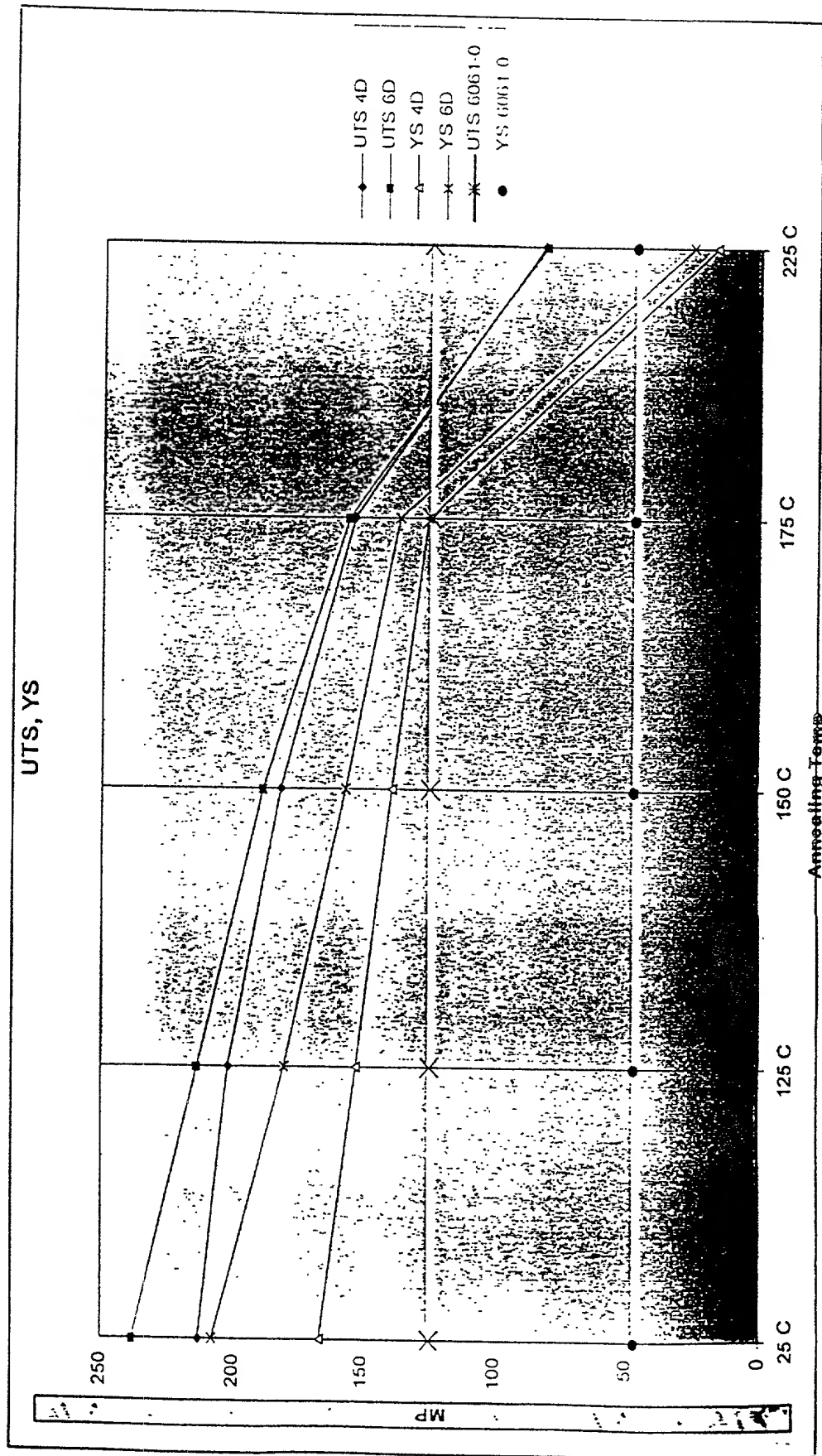


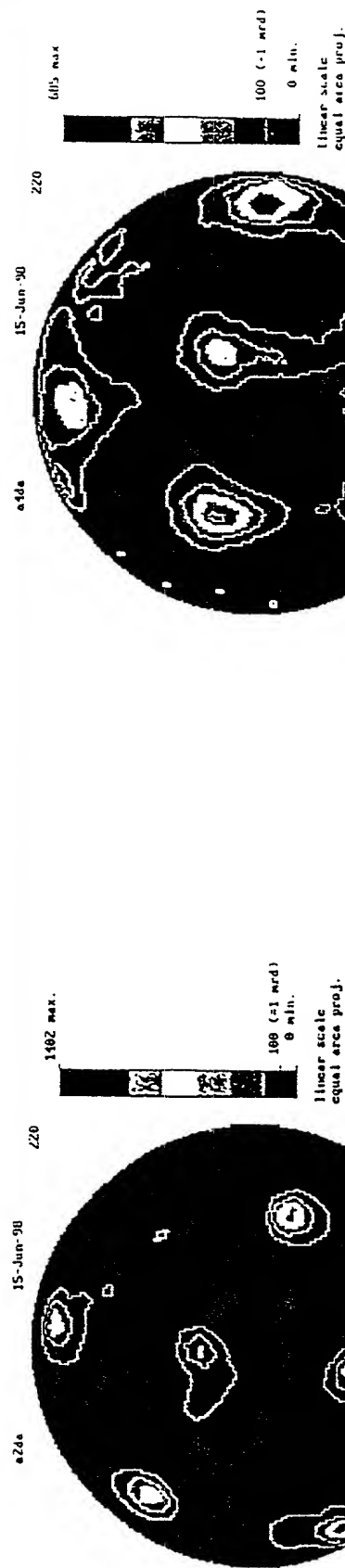
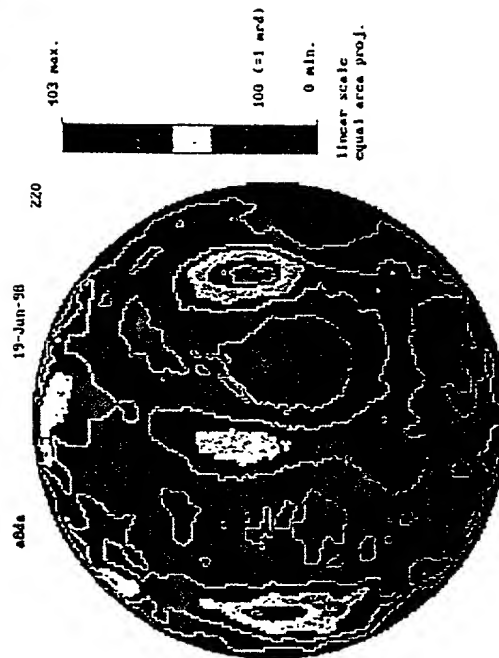
FIGURE 3B

FIGURE 2

# Strength of ECAE Al+0.5Cu vs AA 6061-0



TEXTURAL ANALYSIS OF ECAL DEFORMED Al-5Cu  
SPREADING OF ORIENTATIONS AS N INCREASES  
ROUTTE D as deformed- (220) pole

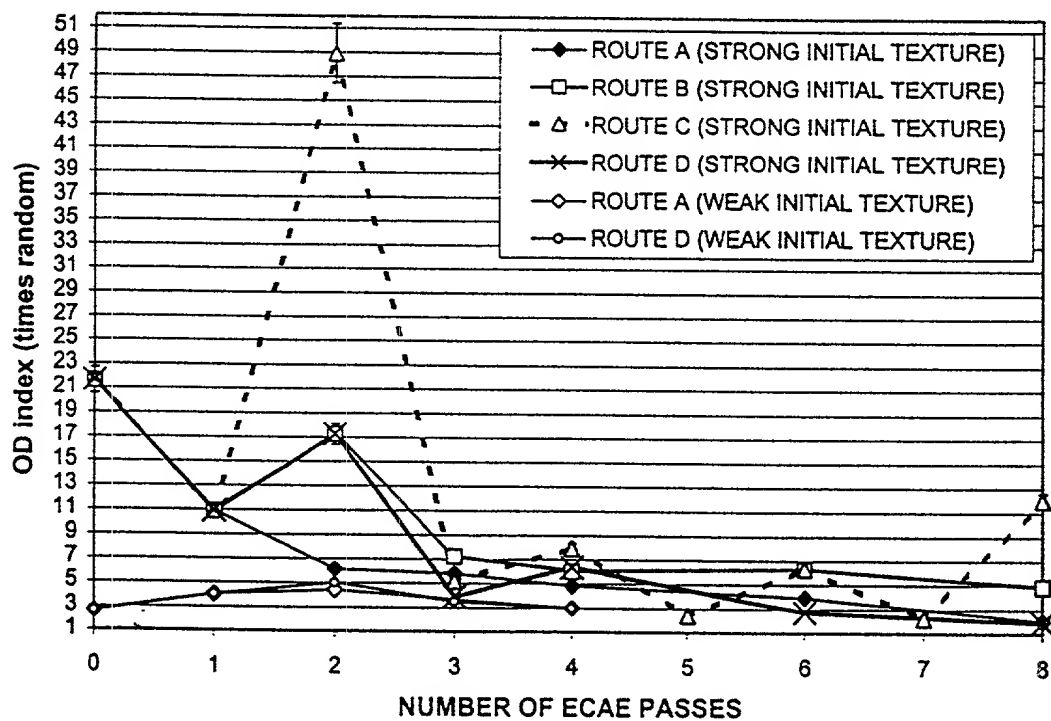

$$N=2$$

$$\infty$$
$$N=4$$

## Figure 4



**Al .5 Cu**  
**INFLUENCE OF ECAE DEFORMATION ROUTE**  
**AND STRENGTH OF INITIAL TEXTURE ON TEXTURE FORMATION IN**  
**FUNCTION OF NUMBER OF ECAE PASSES**

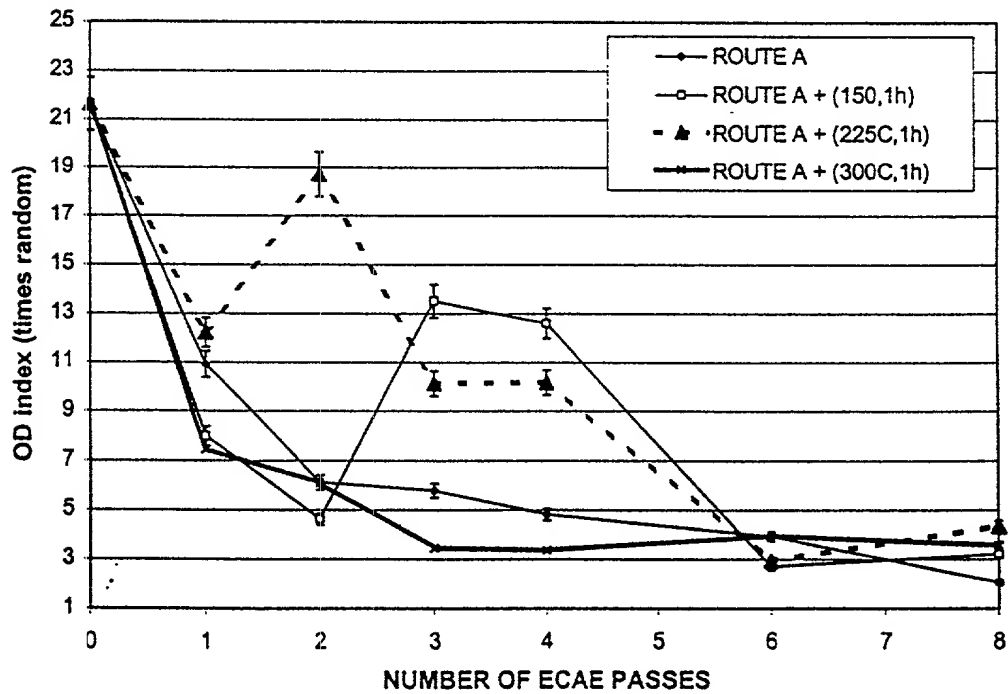
EVOLUTION OF OD INDEX AFTER ECAE DEFORMATION VIA ROUTE A,B,C AND D IN  
 THE AS-DEFORMED STATE FOR A STRONG AND WEAK INITIAL TEXTURE



**FIGURE 5**

**Al.5 Cu**  
**INFLUENCE OF ANNEALING TREATMENT**  
**ON TEXTURE FORMATION FOR ROUTE A**

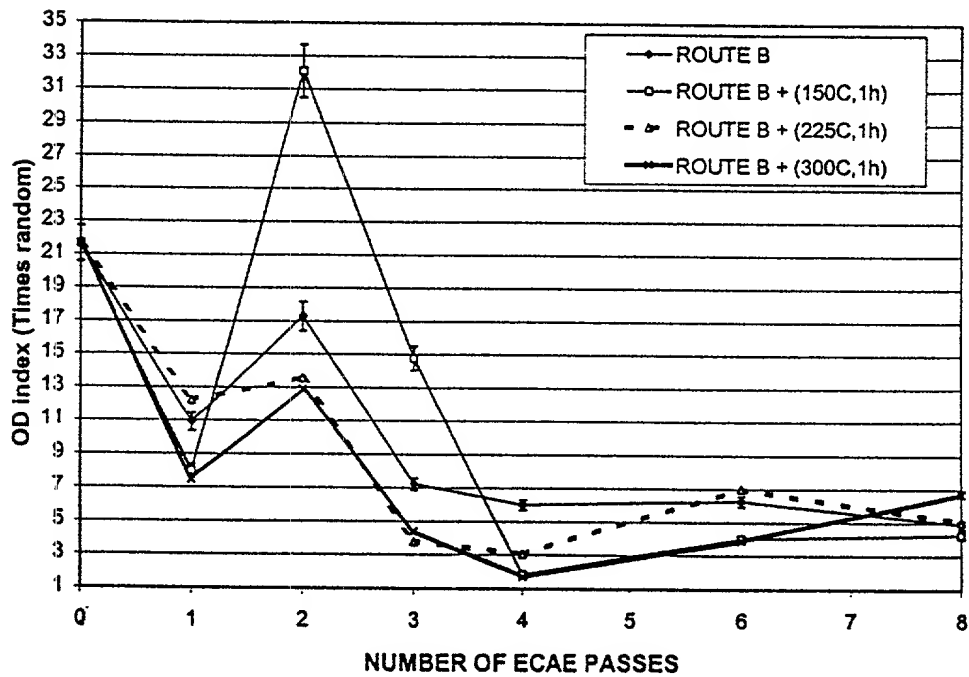
EVOLUTION OF OD INDEX FOR A STRONG INITIAL TEXTURE AFTER ECAE  
 DEFORMATION VIA ROUTE A AND SUBSEQUENT ANNEALING AT TEMPERATURES:  
 -BELOW STATIC RECRYSTALLIZATION (150°C, 1h),  
 -AT THE BEGINNING OF STATIC RECRYSTALLIZATION (225°C, 1h)  
 -AFTER FULL STATIC RECRYSTALLIZATION (300°C, 1h)



**FIGURE 6**

**Al .5 Cu**  
**INFLUENCE OF ANNEALING TREATMENT**  
**ON TEXTURE FORMATION FOR ROUTE B**

EVOLUTION OF OD INDEX FOR A STRONG INITIAL TEXTURE AFTER ECAE  
DEFORMATION VIA ROUTE B AND SUBSEQUENT ANNEALING AT TEMPERATURES:  
-BELOW STATIC RECRYSTALLIZATION (150°C, 1h),  
-AT THE BEGINNING OF STATIC RECRYSTALLIZATION (225°C, 1h)  
-AFTER FULL STATIC RECRYSTALLIZATION (300°C, 1h)

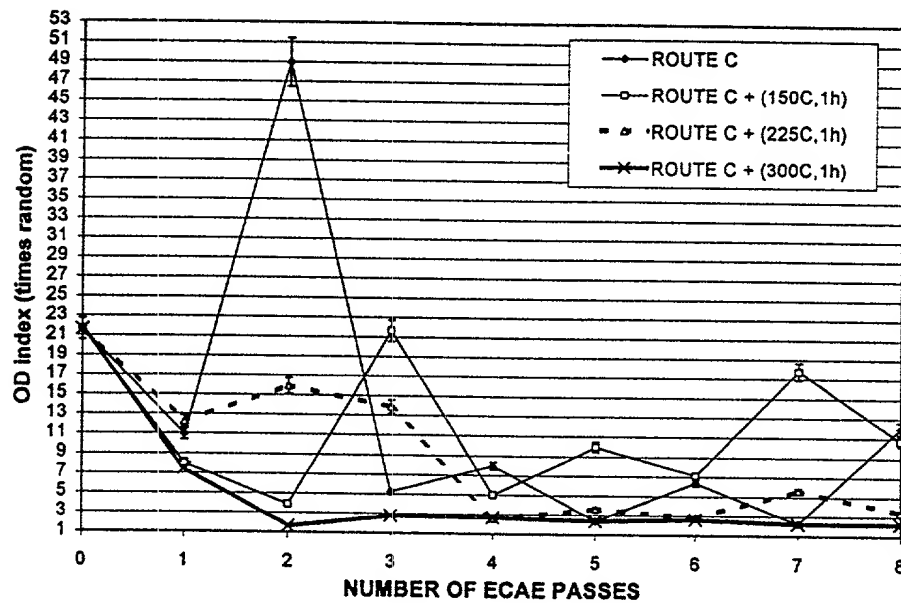


**FIGURE 7**

**Al .5 Cu**  
**INFLUENCE OF ANNEALING TREATMENT**  
**ON TEXTURE FORMATION FOR ROUTE C**

EVOLUTION OF OD INDEX FOR A STRONG INITIAL TEXTURE AFTER ECAE DEFORMATION VIA  
ROUTE C AND SUBSEQUENT ANNEALING AT TEMPERATURES:

- BELOW STATIC RECRYSTALLIZATION (150°C, 1h),
- AT THE BEGINNING OF STATIC RECRYSTALLIZATION (225°C, 1h)
- AFTER FULL STATIC RECRYSTALLIZATION (300°C, 1h)



**FIGURE 8**

Al .5 Cu  
INFLUENCE OF ANNEALING TREATMENT  
ON TEXTURE FORMATION FOR ROUTE D

EVOLUTION OF OD INDEX FOR A STRONG INITIAL TEXTURE AFTER ECAE  
DEFORMATION VIA ROUTE D AND SUBSEQUENT ANNEALING AT TEMPERATURES:  
-BELOW STATIC RECRYSTALLIZATION (150°C, 1h),  
-AT THE BEGINNING OF STATIC RECRYSTALLIZATION (225°C, 1h)  
-AFTER FULL STATIC RECRYSTALLIZATION (300°C, 1h)

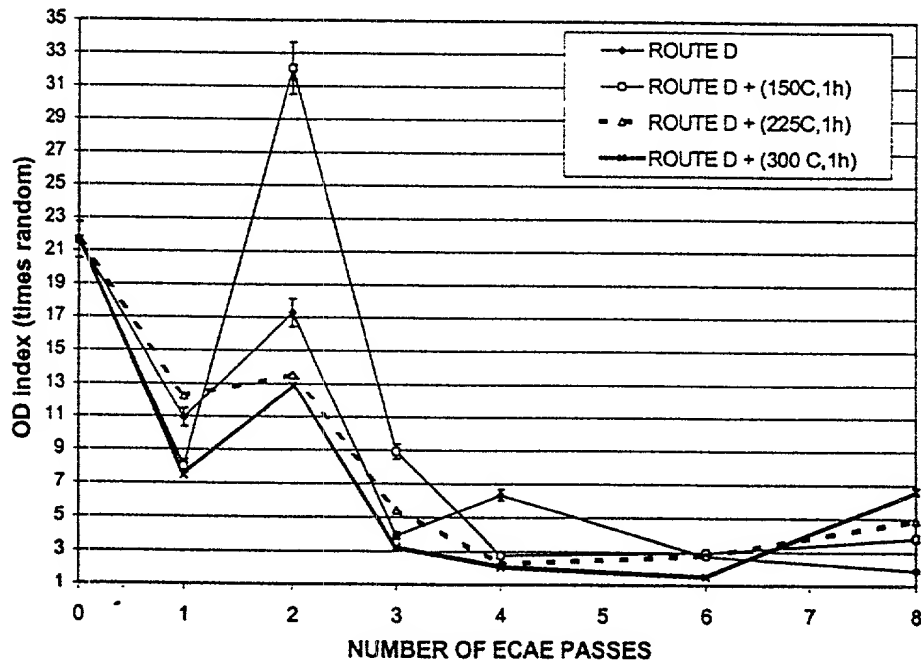


FIGURE 9

# Figure 10

aoj

11-Jun-98

Ry(h)reduced pole figure

001



330 max.

100 (=1 mrd)

2 min.

linear scale  
equal area proj.



**DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATIONS**

PATENT

Docket No. : 33507/VGG/J104

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled HIGH-STRENGTH SPUTTERING TARGETS AND METHOD OF MAKING SAME, the specification of which is attached hereto unless the following is checked:

\_\_\_ was filed on \_\_\_ as United States Application Number or PCT International Application Number \_\_\_ and was amended on \_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of the foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

<u>Application Number</u>	<u>Country</u>	<u>Filing Date (day/month/year)</u>	<u>Priority Claimed</u>
---------------------------	----------------	-------------------------------------	-------------------------

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

<u>Application Number</u>	<u>Filing Date</u>
---------------------------	--------------------

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

<u>Application Number</u>	<u>Filing Date</u>	<u>Patented/Pending/Abandoned</u>
---------------------------	--------------------	-----------------------------------

**POWER OF ATTORNEY:** I hereby appoint the following attorneys and agents of the law firm CHRISTIE, PARKER & HALE, LLP to prosecute this application and any international application under the Patent Cooperation Treaty based on it and to transact all business in the U.S. Patent and Trademark Office connected with either of them in accordance with instructions from the assignee of the entire interest in this application;



**DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATIONS**

**Docket No. 33507/VGG/J104**

or from the first or sole inventor named below in the event the application is not assigned; or from \_\_ in the event the power granted herein is for an application filed on behalf of a foreign attorney or agent.

R. W. Johnston	(17,968)	John D. Carpenter	(34,133)	Lucinda G. Auciello	(42,270)
D. Bruce Prout	(20,958)	David A. Plumley	(37,208)	Norman E. Carte	(30,455)
Hayden A. Carney	(22,653)	Wesley W. Monroe	(39,778)	Joel A. Kauth	(41,886)
Richard J. Ward, Jr.	(24,187)	John W. Eldredge	(37,613)	Patrick Y. Ikehara	(42,681)
Russell R. Palmer, Jr.	(22,994)	Gregory S. Lampert	(35,581)	Mark Garscia	(31,953)
LeRoy T. Rahn	(20,356)	Grant T. Langton	(39,739)	Gary J. Nelson	(44,257)
Richard D. Seibel	(22,134)	Constantine Marantidis	(39,759)	Raymond R. Tabandeh	(43,945)
Walter G. Maxwell	(25,355)	Daniel R. Kimbell	(34,849)	Phuong-Quan Hoang	(41,839)
William P. Christie	(29,371)	Craig A. Gelfound	(41,032)	Jun-Young E. Jeon	(43,693)
David A. Dillard	(30,831)	Syed A. Hasan	(41,057)	Kathy Mojibi	(41,409)
Thomas J. Daly	(32,213)	Kathleen M. Olster	(42,052)	Cynthia A. Bonner	(44,548)
Vincent G. Gioia	(19,959)	Daniel M. Cavanagh	(41,661)	Marc A. Karish	(44,816)
Edward R. Schwartz	(31,135)	Molly A. Holman	(40,022)		

The authority under this Power of Attorney of each person named above shall automatically terminate and be revoked upon such person ceasing to be a member or associate of or of counsel to that law firm.

**DIRECT TELEPHONE CALLS TO : Vincent G. Gioia, 626/795-9900**

**SEND CORRESPONDENCE TO : CHRISTIE, PARKER & HALE, LLP  
P.O. Box 7068, Pasadena, CA 91109-7068**

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first joint inventor Vladimir Segal	Inventor's signature	Date
Residence and Post Office Address 1906 South Sonora Drive, Veradale, Washington 99037		Citizenship USA

Full name of second joint inventor William B. Willett	Inventor's signature	Date
Residence and Post Office Address South 7220 Cedar Road, Spokane, Washington 99216		Citizenship USA

Full name of third joint inventor Stephane Ferrasse	Inventor's signature	Date
Residence and Post Office Address 15821 East 4 <sup>th</sup> Avenue, F326, Veradale, Washington 99037		Citizenship French